

## **Crown Haven Wealth Management LLC**

11555 N. Meridian St., Suite 560  
Carmel, IN 460232

Phone: (317) 564-4691  
Email: [operations@crownhaven.com](mailto:operations@crownhaven.com)

### **Form ADV Part 2A Brochure**

**March 27, 2024**

Crown Haven Wealth Management LLC is a registered investment adviser. An "investment adviser" means any person who, for compensation, engages in the business of advising others, either directly or through publications or writings, as to the value of securities or as to the advisability of investing in, purchasing, or selling securities, or who, for compensation and as part of a regular business, issues or promulgates analyses or reports concerning securities. Registration with the SEC or any state securities authority does not imply a certain level of skill or training.

This brochure provides information about the qualifications and business practices of Crown Haven Wealth Management LLC. If you have any questions about the contents of this brochure, please contact us at (317) 564-4691 or [operations@crownhaven.com](mailto:operations@crownhaven.com). The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Additional information about Crown Haven Wealth Management LLC is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Material Changes - Item 2**

The purpose of this page is to inform you of any material changes since our previous annual updating amendment submitted to regulators.

On March 27, 2024, we submitted our annual updating amendment with regulators for the 2022 fiscal year. We did not have any material changes to report.

We will review and update, as needed, our brochure at least annually to make sure that it remains current. If you have questions or if you would like a copy of our full brochure at any time, free of charge, please contact us at (317) 564-4691 or [operations@crownhaven.com](mailto:operations@crownhaven.com).

**Table of Contents - Item 3**

Cover Page - Item 1.....	1
Material Changes - Item 2.....	2
Table of Contents - Item 3 .....	3
Advisory Business - Item 4 .....	4
Fees and Compensation - Item 5 .....	5
Performance-Based Fees and Side-By-Side Management - Item 6 .....	6
Types of Clients - Item 7.....	7
Methods of Analysis, Investment Strategies and Risk of Loss - Item 8.....	7
Disciplinary Information - Item 9 .....	7
Other Financial Industry Activities or Affiliations - Item 10.....	8
Code of Ethics, Participation or Interest in Client Transactions and Personal Trading - Item 11.....	8
Brokerage Practices - Item 12 .....	9
Review of Accounts - Item 13 .....	10
Client Referrals and Other Compensation - Item 14 .....	10
Custody - Item 15.....	10
Investment Discretion - Item 16 .....	11
Voting Client Securities - Item 17 .....	11
Financial Information - Item 18 .....	11
Requirements of State-Registered Advisers - Item 19.....	11
Form ADV Part 2B Brochure Supplement.....	13
Form ADV Part 2B Brochure Supplement.....	17
Form ADV Part 2B Brochure Supplement .....	21
Privacy Notice .....	25

#### **Advisory Business - Item 4**

Crown Haven Wealth Management LLC (hereinafter "Crown Haven Wealth Management," "Crown Haven," or the "firm") is an investment adviser seeking registration with the Indiana Securities Division and with its principal place of business in Indiana. We are a limited liability company formed under the laws of the State of Indiana. Casey A. Marx is the sole owner and Managing Member of Crown Haven Wealth Management. Gordon S. Campbell is the Chief Compliance Officer of the firm. Currently, we offer the investment advisory services described in this section. Please refer to the description of each investment advisory service listed below for information on how we tailor our advisory services to your individual needs.

As used in this brochure, the words "we," "our," and "us" refer to our firm, and the words "you," "your," and "client" refer to you as either a client or prospective client of our firm.

Any material conflicts of interest between you and our firm, or our employees are disclosed in this Disclosure Brochure. If at any time, additional material conflicts of interest arise, we will provide you with written notification of the material conflicts of interest or an updated Disclosure Brochure.

#### **Selection of Other Advisers**

We may recommend that you use the services of, or specific programs offered through, an unaffiliated third-party investment adviser ("TPIA") to manage your entire, or a portion of your, investment portfolio. All TPIAs referred by our firm must either be registered as investment advisers or exempt from registration requirements in applicable jurisdictions. After gathering information about your financial situation and objectives, we may refer you to a specific TPIA or investment program. Factors that we take into consideration when making our referrals include, but are not limited to, the following: the TPIA's performance, strategies, methods of analysis, fees, expenses, and compliance capabilities, as well as your financial needs, investment goals, risk tolerance, and investment objectives. We will periodically monitor the TPIA's performance to ensure its management and investment style remains aligned with your investment goals and objectives.

We expect that in most circumstances, the TPIA will actively manage your portfolio and will assume discretionary investment authority over your account. We will not assume discretionary authority to hire and fire TPIAs and/or to reallocate your assets to other TPIAs/programs, but we may make recommendations to do so, where we deem such action to be appropriate.

You will be required to sign an agreement directly with the referred TPIA. You may terminate your advisory relationship with the TPIA according to the terms of your agreement with the TPIA. You should review each TPIA's disclosure brochure and advisory agreement for specific information on how you may terminate your advisory relationship with the TPIA and how you may receive a refund, if applicable. You should contact the TPIA directly for questions regarding your advisory agreement with the TPIA. In such arrangements and under normal circumstances, you would not be signing an agreement with Crown Haven.

#### **Types of Investments**

We typically do not recommend specific securities or one particular type of security over other types of securities. Generally, clients may not impose restrictions on investing in certain securities or types of securities in accounts

managed by a TPIA. Please consult with the recommended TPIA regarding their policies on imposing restrictions on investments in particular securities or certain types of securities.

#### **Wrap Fee Programs**

Crown Haven Wealth Management does not manage or sponsor any wrap fee programs. However, recommended TPIAs may offer wrapped and/or non-wrapped pricing options. Wrap pricing structures allow the client to pay an all-inclusive fee for management, brokerage, clearance, custody, and administrative services. Transaction costs may also be charged for the execution and clearance of advisory transactions directed by such TPIAs. A complete description of the programs and services provided, the amount of total fees, the payment structure, termination provisions, and other aspects of each program are detailed and disclosed in: i) the TPIA's Form ADV Part 2A; ii) the program wrap brochure (if applicable) or other applicable disclosure documents; iii) the disclosure documents of the portfolio manager(s) selected; or, iv) the TPIA's, the broker-dealer's, and/or the custodian's account opening documents. A copy of all relevant disclosure documents of the TPIA and of the individual portfolio manager(s) will be provided to anyone interested in these programs/managers.

#### **Assets Under Management**

As of the date of this brochure, we do not directly manage client assets. Therefore, there are no regulatory assets under management on a discretionary or non-discretionary basis to report under this heading.

### **Fees and Compensation - Item 5**

#### **Third Party Investment Adviser Fees**

Crown Haven Wealth Management may refer potential clients to other various independent registered investment advisers. In such situations, Crown Haven will share in the fee paid by you, as the client, to the TPIA. The key compensation terms are disclosed in the TPIA's disclosure documents as well as in disclosures provided by Crown Haven (of which you will be required to acknowledge receipt). These fees may or may not be negotiable. Our compensation may differ depending upon our firm's individual agreement with each TPIA. Crown Haven Wealth Management or its Associated Persons may have an incentive to recommend one TPIA over another TPIA with whom it has less favorable compensation arrangements or other advisory programs offered by TPIAs with which it has no compensation arrangements. In order to address this conflict, our firm has adopted a code of ethics that obliges all associated persons to deal fairly with all clients, to uphold their fiduciary duty, and to put the client's interest first. Clients are not required to use the services of any TPIA we recommend. The total fees charged by the TPIA, including the portion shared with our firm, will not exceed 3% of assets under management per year.

Crown Haven Wealth Management does not bill clients directly and does not deduct advisory fees from client accounts. All fees are deducted by the TPIA managing the account and a portion of that fee is paid to our firm.

Neither our firm nor persons associated with our firm accept compensation for the sale of securities, including asset-based sales charges or service fees from the sale of mutual funds. However, if you purchase certain insurance products through our firm or licensed persons associated with our firm, we will receive commission-based compensation as disclosed throughout this disclosure brochure.

**Additional Fees and Expenses**

As part of the advisory services provided through TPIAs, you may be invested in, or solicited to invest in, mutual funds and exchange traded funds. The management fees that you pay to the TPIA (including the portion paid to our firm) are separate and distinct from the fees and expenses charged by mutual funds or exchange traded funds (as described in each fund's prospectus) to their shareholders. These fees will generally include a management fee and other fund expenses. You will also incur transaction charges and/or brokerage fees when purchasing or selling securities. These charges and fees are typically imposed by the broker-dealer or custodian through which your account transactions are executed. We do not share in any portion of the brokerage fees/transaction charges imposed by the broker-dealer or custodian. To fully understand the total cost you will incur, you should review all the fees charged by mutual funds, exchange traded funds, TPIAs, and others.

**Compensation for the Sale of Insurance Products**

Certain Executive officers and other Associated Persons of our firm are licensed as independent insurance agents. These persons will earn commission-based compensation for selling insurance products, including insurance products they sell to our clients. Insurance commissions earned by these persons are separate from and in addition to our advisory fees. The sale of insurance instruments and other commissionable products offered by Associated Persons are intended to complement our advisory services. However, this practice presents a conflict of interest because persons providing investment advice on behalf of our firm who are insurance agents have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. We address this conflict of interest by recommending insurance products only where we, in good faith, believe that it is appropriate for the client's particular needs and circumstances and only after a full presentation of the recommended insurance product to our client. In addition, we explain the insurance underwriting process to our clients to illustrate how the insurer also reviews the client's application and disclosures prior to the issuance of a resulting insuring agreement. Clients to whom the firm offers advisory services are informed that they are under no obligation to purchase insurance services. Clients who do choose to purchase insurance services are under no obligation to use our licensed Associated Persons and may use any insurance brokerage firm and agent they choose.

Where fixed annuities are sold, clients should also note that the annuity sales result in substantial up-front commissions and ongoing trails based on the annuity's total value. In addition, many annuities contain surrender charges and/or restrictions on access to your funds. Payments and withdrawals can have tax consequences. Optional lifetime income benefit riders are used to calculate lifetime payments only and are not available for cash surrender or in a death benefit unless specified in the annuity contract. In some annuity products, fees can apply when using an income rider. Annuity guarantees are based on the financial strength and claims-paying ability of the issuing insurance company. We urge our clients to read all insurance contract disclosures carefully before making a purchase decision. Rates and returns mentioned on any program presented are subject to change without notice. Insurance products are subject to fees and additional expenses.

**Performance-Based Fees and Side-By-Side Management - Item 6**

Performance based fees are based on a share of capital gains on or capital appreciation of the client's assets. Crown Haven Wealth Management does not accept performance-based fees.

#### Types of Clients - Item 7

We generally offer investment advisory services to individuals. Accounts managed by TPIAs may be subject to minimum investment requirements. We will only recommend TPIAs and/or programs for which you meet any minimum requirements. Please review the applicable disclosure brochures and client agreements of the recommended TPIAs for information on any minimum requirements they may require.

#### Methods of Analysis, Investment Strategies and Risk of Loss - Item 8

Our firm will refer clients to TPIAs that provide advice to clients in accordance with the relevant programs provided by the TPIA. We will assist you in selecting TPIAs whose investment programs and strategies we have reviewed and determined to be appropriate for you based on your individual circumstances and investment goals. We will not recommend particular securities or directly manage your assets. Clients should refer to the disclosure documents provided by the TPA for more information about these firm's methods of analysis, investment strategies, and risks associated with investments.

**Investing in securities involves risk of loss that clients should be prepared to bear.** Clients should fully understand the nature of the contractual relationship(s) into which they are entering and the extent of their exposure to risk. Certain investing strategies may not be suitable for many Managing Members of the public. You should carefully consider whether the strategies employed would be appropriate for you in light of your experience, objectives, financial resources, and other relevant circumstances.

**General Investment Risk:** All investments come with the risk of loss. Investing may involve substantial risks, including complete possible loss of principal plus other losses and may not be suitable for many Managing Members of the public. Investments, unlike savings and checking accounts at a bank, are not insured by the government to protect against market losses. Different market instruments carry different types and degrees of risk and you should familiarize yourself with the risks involved in the particular market instruments in which you intend to invest.

**Loss of Value:** There can be no assurance that a specific investment will achieve its investment objectives and past performance should not be seen as a guide to future returns. The value of investments and the income derived may fall as well as rise and investors may not recoup the original amount invested. Investments may also be affected by any changes in exchange control regulation, tax laws, withholding taxes, international, political, and economic developments, and government, economic or monetary policies.

#### Disciplinary Information - Item 9

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of us or of the integrity of our management.

Neither Crown Haven Wealth Management nor its management persons have been involved in any legal or disciplinary events reportable under this item. Information regarding Crown Haven Wealth Management's history can be found online at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). The firm's CRD number is 306691.

#### **Other Financial Industry Activities or Affiliations - Item 10**

Neither Crown Haven Wealth Management nor its advisory affiliates are registered as, or has pending applications to register as, a broker/dealer, Futures Commission Merchant, Commodity Pool Operator, or Commodity Trading Advisor or is currently an associated person of any the foregoing types of entities.

##### **Registrations with Other Investment Advisers**

Neither our firm nor persons associated with our firm serve as an officer, owner, or director of any recommended TPIA. However, Gordon S. Campbell, Chief Compliance Officer of Crown Haven Wealth Management, is dually registered as an investment adviser representative of Aegis Wealth Management, Inc. ("Aegis"), an SEC registered investment adviser. Crown Haven Wealth Management will share in advisory fees paid to Aegis for advisory services provided through Aegis and/or Mr. Campbell in his capacities as an investment adviser representative of Aegis. This presents a conflict of interest because Mr. Campbell may have an incentive to recommend the services of Aegis over the services of another TPIA with which he has no affiliation and/or no or less favorable compensation arrangements. However, you are under no obligation, contractually or otherwise, to utilize the advisory services of Aegis or any other recommended TPIA, or through Mr. Campbell or any person or entity affiliated with our firm.

##### **Recommendation of Other Advisers**

Where you engage a TPIA that we recommend, we will receive compensation from the TPIA for recommending that you use their services. These compensation arrangements present a conflict of interest because we have a financial incentive to recommend the services of a particular TPIA over another TPIA with which we have more favorable compensation arrangements or other professional relationships. Comparable services may be available for lower or higher fees through other service providers with which we have no compensation arrangements or other professional relationships. In order to address this conflict, our firm has adopted a code of ethics that obliges all associated persons to deal fairly with all clients, to uphold their fiduciary duty, and to put the client's interest first. Clients are not required to use the services of any TPIA we recommend.

##### **Insurance Activities**

Please refer to Item 5 above for information about compensation received by licensed individuals associated with Crown Haven Wealth Management for the sale of insurance products.

#### **Code of Ethics, Participation or Interest in Client Transactions and Personal Trading - Item 11**

##### **Description of Our Code of Ethics**

Crown Haven Wealth Management has adopted a Code of Ethics (the "Code") to address investment advisory conduct. The Code focuses primarily on fiduciary duty, personal securities transactions, insider trading, gifts, and



conflicts of interest. The Code includes the firm's policies and procedures developed to protect client's interests in relation to the following topics:

- The duty at all times to place the interests of clients first;
- The requirement that all personal securities transactions be conducted in such a manner as to be consistent with the code of ethics.
- The responsibility to avoid any actual or potential conflict of interest or misuse of an employee's position of trust and responsibility;
- The fiduciary principle that information concerning the identity of security holdings and financial circumstances of clients is confidential; and
- The principle that independence in the investment decision-making process is paramount.

A copy of the firm's Code of Ethics is available upon request at (317) 564-4691 or [operations@crownhaven.com](mailto:operations@crownhaven.com).

#### **Participation or Interest in Client Transactions**

We endeavor to uphold our fiduciary responsibilities to our clients at all times. Neither Crown Haven Wealth Management nor any related person of our firm buys, sells, or recommends specific securities for clients' investment accounts in which our firm or any related person of our firm has a material financial interest.

However, at times, Crown Haven Wealth Management and/or related persons of our firm may recommend, hold, or take positions in the same securities as clients. Although they would not necessarily be aware of timing of trades being considered by the TPIA prior to the transaction, this could be considered a conflict of interest with clients. Where Crown Haven Wealth Management and any related persons of our firm are aware that the TPIA is considering specific transactions for clients' accounts on a specific trading day where there is a potential material conflict, they will make every effort to be "last in" and "last out" for the trading day when trading occurs in close proximity to client trades for which they are aware.

### **Brokerage Practices - Item 12**

#### **Brokerage Practices**

Due to the nature of our business, we do not manage client assets directly; rather, client assets are managed by a TPIA recommended by our firm or independently selected by the client. Clients who have implemented investments through a recommended TPIA may be encouraged or required to use the broker-dealers and/or custodians recommended or selected by the TPIA. You should review each recommended TPIA's disclosure brochure for specific information on their brokerage practices.

#### **Research and Other Soft Dollar Benefits**

We do not receive any research or other soft dollar benefits from broker-dealers in connection with client securities transactions.

#### **Brokerage for Client Referrals**

We do not receive client referrals from broker-dealers and custodians and we do not receive other benefits from a broker-dealer in exchange for client referrals.

**Directed Brokerage**

Crown Haven Wealth Management does not directly manage client assets; therefore, it does not direct client brokerage.

**Trade Aggregation/Block Trading**

Crown Haven Wealth Management does not place any trades or block trades (i.e., aggregate trades for multiple client accounts for an average share price). However, TPIAs may or may not aggregate trades for client accounts.

**Review of Accounts - Item 13**

**Account Reviews**

Mr. Campbell, Chief Compliance Officer, will review client accounts on a periodic basis and review periodic reports provided to the client by TPIAs and/or account custodians. Under normal circumstances, he will contact the client at least annually, or more often as agreed upon with each client, to review the client's financial situation and objectives, communicate information to the TPIA managing the account as necessary, and to assist the client in understanding and evaluating the services provided by the TPIA. Clients will be expected to notify our firm of any changes in their financial situation, investment objectives, or account restrictions. Additional reviews may be provided upon client request or when client circumstances change.

We will not execute, effect, or arrange, in any way, any transactions or changes in asset allocation on your behalf. But, in addition to the statements clients will receive directly from their account custodian(s) at least quarterly, we will provide quarterly reports related to the account holdings, market values, and performance. Information regarding account reviews and additional reporting provided by TPIAs managing client accounts is disclosed in the separate disclosure documents maintained and provided by relevant TPIAs.

If you have questions about your account statements from the account custodian, you should contact the TPIA and/or the custodian preparing the statement.

**Client Referrals and Other Compensation - Item 14**

Our firm does not compensate, directly or indirectly, any person or entity for client referrals. We do, however, share in a portion of the advisory fees paid to TPIAs to which we refer clients for the management of their accounts. This compensation arrangement presents a conflict of interest due to a financial incentive to recommend the services of the TPIA. However, you are not obligated to use the services of any TPIA we recommend.

**Custody - Item 15**

We do not directly debit fees from your accounts and do not otherwise exercise custody over your funds or securities.

#### Investment Discretion - Item 16

We do not have investment discretion over your funds or securities. Where client assets are managed by third parties, such third parties may have discretion pursuant to the management agreement signed with the client.

#### Voting Client Securities - Item 17

##### **Proxy Voting**

Crown Haven Wealth Management will not vote proxies on behalf of clients' accounts managed by third parties. We will not offer clients advice regarding corporate actions and the exercise of proxy voting rights and/or materials. Refer to the disclosure brochure of recommended TPIAs for information on their proxy voting policies.

#### Financial Information - Item 18

Crown Haven Wealth Management is required in this Item to provide you with certain financial information or disclosures about its financial condition. Crown Haven Wealth Management does not require the prepayment of over \$500 per client, six or more months in advance. Additionally, we have no financial condition or commitment that impairs our ability to meet contractual and fiduciary commitments to clients, and neither our firm nor any of our management persons or individuals providing investment advice been the subject of a bankruptcy proceeding.

#### Requirements of State-Registered Advisers - Item 19

##### **Principal Executive Officer and Management Persons**

##### **Casey A. Marx, RICP®**

Year of Birth: 1986

##### *Formal Education After High School:*

- Central Washington University, B.S., Interdisciplinary Studies, 2011

##### *Business Background for the Previous Five Years:*

- Crown Haven Wealth Management LLC, Managing Member/Owner, 09/2019 to Present
- Crown Haven LLC dba Crown Haven Wealth Advisors, CEO/Independent Insurance Agent/Producer, 01/2017 to Present
- Independent Insurance Agent, 07/2012 to 01/2017

##### *Professional Designations:*

##### Retirement Income Certified Professional® (RICP®), 2018

The RICP® designation, awarded by the American College of Financial Services, demonstrates a focus on all aspects of the post-retirement investment distribution phase, including all aspects of the retirement income planning process. RICP® designees must complete 6 steps to earn the designation: 1. Submit registration and fee; 2. Successfully complete a three-part specialized program on retirement income planning; 3. Pass three separate

detailed written examinations; 4. Upon passing the examinations, submit the accreditation application and fee; 5. Complete annual continuing educational requirements; 6. Pledge to abide by the designation's Code of Ethics.

**Gordon S. Campbell**

Year of Birth: 1970

*Formal Education After High School:*

- Concordia University, Bachelor of Arts (B.A.), Management and Communications, 2002

*Business Background for the Previous Five Years:*

- Crown Haven Wealth Management LLC, Chief Compliance Officer/Investment Adviser Representative, 10/2020 to Present
- Aegis Wealth Management, Inc., Investment Adviser Representative, 11/2019 to Present
- C Crown Haven LLC dba Crown Haven Wealth Advisors, Independent Insurance Agent, 03/2016 to Present
- Lifemark Securities Corp, Registered Representative/Investment Adviser Representative, 03/2018 to 11/2019
- Blue Duck Wealth Management, Investment Adviser Representative, 02/2017 to 03/2018
- Total Advisor Network, LLC, Investment Adviser Representative, 04/2016 to 02/2017
- Northwestern Mutual Life Insurance Company, Agent, 05/2015 to 03/2016
- Northwestern Mutual Investment Services LLC, Investment Advisor, 03/2015 to 03/2016
- Edward Jones, Investment Adviser, 10/2014 to 02/2015

**Performance Based Fees**

Performance based fees are based on a share of capital gains on or capital appreciation of the client's assets. We do not accept performance-based fees.

**Disciplinary Information**

Mr. Marx has not been involved in any legal or disciplinary events reportable under this item. Information regarding the firm can be found online at [www.adviserinfo.gov](http://www.adviserinfo.gov).

**Other Relationships or Arrangements With Issuers of Securities**

We have no relationships or arrangements with any issuer of securities.

## **Gordon Spencer Campbell**

CRD Number: 6374489

Compliance Officer / Investment Adviser Representative

## **Crown Haven Wealth Management LLC**

11555 N. Meridian St., Suite 560  
Carmel, IN 460232

Phone: (317) 564-4691

Email: [gordon@crownhaven.com](mailto:gordon@crownhaven.com)

### **Form ADV Part 2B Brochure Supplement**

**March 27, 2024**

This Brochure Supplement provides information about Gordon S. Campbell that supplements the Disclosure Brochure of Crown Haven Wealth Management LLC (hereinafter “Crown Haven Wealth Management”), a copy of which you should have received. Please contact us at (317) 564-4691 if you did not receive the Disclosure Brochure or if you have any questions about the contents of this Brochure Supplement.

Additional information about Mr. Campbell is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Mr. Campbell’s individual CRD number is 6374489.

### Educational Background and Business Experience - Item 2

**Gordon S. Campbell**

Year of Birth: 1970

*Formal Education After High School:*

- Concordia University, Bachelor of Arts (B.A.), Management and Communications, 2002

*Business Background for the Previous Five Years:*

- Crown Haven Wealth Management LLC, Chief Compliance Officer/Investment Adviser Representative, 10/2020 to Present
- Aegis Wealth Management, Inc., Investment Adviser Representative, 11/2019 to Present
- Crown Haven LLC dba Crown Haven Wealth Advisors, Independent Insurance Agent, 03/2016 to Present
- Lifemark Securities Corp, Registered Representative/Investment Adviser Representative, 03/2018 to 11/2019
- Blue Duck Wealth Management, Investment Adviser Representative, 02/2017 to 03/2018
- Total Advisor Network, LLC, Investment Adviser Representative, 04/2016 to 02/2017
- Northwestern Mutual Life Insurance Company, Agent, 05/2015 to 03/2016
- Northwestern Mutual Investment Services LLC, Investment Advisor, 03/2015 to 03/2016
- Edward Jones, Investment Adviser, 10/2014 to 02/2015

### Disciplinary Information - Item 3

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Campbell and Crown Haven Wealth Management. Mr. Campbell has not been involved in any legal or disciplinary events reportable under this item. Information regarding Mr. Campbell's history can be found online at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). His individual CRD number is 6374489.

### Other Business Activities - Item 4

Mr. Campbell is also licensed as an insurance agent/producer. In this capacity, he can affect transactions in insurance products for advisory and non-advisory clients and earn commissions for these activities. The advisory fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Campbell for insurance related activities. This presents a conflict of interest because Mr. Campbell may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than making such recommendations based solely on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through Mr. Campbell or any person or entity affiliated with our firm. Mr. Campbell spends approximately 20% of his professional time on insurance related sales and services.

Mr. Campbell is also dually registered as an investment adviser representative of Aegis Wealth Management, Inc. ("Aegis"), an SEC registered investment adviser. Crown Haven Wealth Management will share in advisory fees paid to Aegis for advisory services provided through Aegis and/or Mr. Campbell in his capacities as an investment adviser representative of Aegis. This presents a conflict of interest because Mr. Campbell may have an incentive

to recommend the services of Aegis over the services of another TPIA with which he has no affiliation and/or no or less favorable compensation arrangements. However, you are under no obligation, contractually or otherwise, to utilize the advisory services of Aegis or any other recommended TPIA, or through Mr. Campbell or any person or entity affiliated with our firm. Mr. Campbell spends approximately 20% of his professional time on investment advisory services offered through Aegis.

#### **Additional Compensation – Item 5**

Apart from sharing in advisory fees paid by clients to third party investment advisers (TPIAs) and the other business activities described above in Item 4 of this brochure supplement, Mr. Campbell does not receive additional compensation or economic benefits from anyone who is not a client in connection with providing investment advisory services.

#### **Supervision - Item 6**

Mr. Campbell is an investment adviser representative of Crown Haven Wealth Management. In this role, Mr. Campbell is responsible for monitoring client portfolios for investment objectives and other supervisory reviews. Mr. Campbell is also the Chief Compliance Officer of Crown Haven Wealth Management. In this capacity, Mr. Campbell is responsible for the implementation of the firm's compliance program and supervision of the firm's advisory personnel. He reports directly to Casey A. Marx, Managing Member/Owner of the firm. Mr. Marx can be reached at (317) 564-4691 or [casey@crownhaven.com](mailto:casey@crownhaven.com).

Crown Haven Wealth Management has implemented a Code of Ethics and an internal compliance program that guides the firm in meeting its fiduciary obligations to clients. Mr. Campbell adheres to the code of ethics and compliance manual as mandated. Clients may contact Mr. Campbell at (317) 564-4691 or [gordon@crownhaven.com](mailto:gordon@crownhaven.com) to obtain a copy of our firm's code of ethics.

Additionally, Crown Haven Wealth Management is subject to regulatory oversight by various agencies. These agencies require registration by Crown Haven Wealth Management and its investment adviser representatives. As a registered entity, Crown Haven Wealth Management is subject to examinations by regulators, which may be announced or unannounced. Crown Haven Wealth Management is required to periodically update the information provided to these agencies and to provide various reports regarding firm business.

#### **Requirements for State-Registered Advisers - Item 7**

This disclosure is required by state securities authorities. As such, we are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Campbell.

- A. Mr. Campbell has NOT been involved in any of the events listed below.
  - 1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:

- a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.

B. Mr. Campbell has NOT been the subject of a bankruptcy.

Information regarding Mr. Campbell's history can be found online at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). His individual CRD number is 6374489.



**Nathan G. Kesling**

CRD Number: 7292118

Investment Adviser Representative

**Crown Haven Wealth Management LLC**

11555 N. Meridian St., Suite 560  
Carmel, IN 460232

Phone: (317) 564-4691

Email: [nathan@crownhaven.com](mailto:nathan@crownhaven.com)

**Form ADV Part 2B Brochure Supplement**

**March 27, 2024**

This Brochure Supplement provides information about Nathan G. Kesling that supplements the Disclosure Brochure of Crown Haven Wealth Management LLC (hereinafter “Crown Haven Wealth Management”), a copy of which you should have received. Please contact us at (317) 564-4691 if you did not receive the Disclosure Brochure or if you have any questions about the contents of this Brochure Supplement.

Additional information about Mr. Kesling is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Mr. Kesling’s individual CRD number is 7292118.

### **Educational Background and Business Experience - Item 2**

**Nathan G. Kesling**

Year of Birth: 1996

*Formal Education After High School:*

- Butler University, B.S., Finance & Risk Management, 2019

*Business Background for the Previous Five Years:*

- Crown Haven Wealth Management LLC, Investment Adviser Representative, 06/2022 to Present; Associate Advisor, 06/2019 to 09/2020
- Aegis Wealth Management, Inc., Investment Adviser Representative, 10/2020 to Present
- Crown Haven Wealth Advisors, Advisor, 09/2021 to 06/2022
- Butler University, Student, 08/2015 to 05/2019

### **Disciplinary Information - Item 3**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Kesling and Crown Haven Wealth Management. Mr. Kesling has not been involved in any legal or disciplinary events reportable under this item. Information regarding Mr. Kesling's history can be found online at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). His individual CRD number is 7292118.

### **Other Business Activities - Item 4**

Mr. Kesling is also licensed as an insurance agent/producer. In this capacity, he can affect transactions in insurance products for advisory and non-advisory clients and earn commissions for these activities. The advisory fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Kesling for insurance related activities. This presents a conflict of interest because Mr. Kesling may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than making such recommendations based solely on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through Mr. Kesling or any person or entity affiliated with our firm. Mr. Kesling spends approximately 35% of his professional time on insurance related sales and services.

Mr. Kesling is also dually registered as an investment adviser representative of Aegis Wealth Management, Inc. ("Aegis"), an SEC registered investment adviser. Crown Haven Wealth Management will share in advisory fees paid to Aegis for advisory services provided through Aegis and/or Mr. Kesling in his capacities as an investment adviser representative of Aegis. This presents a conflict of interest because Mr. Kesling may have an incentive to recommend the services of Aegis over the services of another TPIA with which he has no affiliation and/or no or less favorable compensation arrangements. However, you are under no obligation, contractually or otherwise, to utilize the advisory services of Aegis or any other recommended TPIA, or through Mr. Kesling or any person or entity affiliated with our firm. Mr. Kesling spends approximately 10% of his professional time on investment advisory services offered through Aegis.

#### **Additional Compensation – Item 5**

Apart from sharing in advisory fees paid by clients to third party investment advisers (TPIAs) and the other business activities described above in Item 4 of this brochure supplement, Mr. Kesling does not receive additional compensation or economic benefits from anyone who is not a client in connection with providing investment advisory services.

#### **Supervision - Item 6**

Mr. Kesling is an investment adviser representative of Crown Haven Wealth Management. In this role, Mr. Kesling is responsible for monitoring client portfolios for investment objectives and other supervisory reviews. Gordon Campbell is the Chief Compliance Officer of Crown Haven Wealth Management. In this capacity, Mr. Campbell is responsible for the implementation of the firm's compliance program and supervision of the firm's advisory personnel, including Mr. Kesling. Mr. Campbell can be reached at (317) 564-4691 or [gordon@crownhaven.com](mailto:gordon@crownhaven.com).

Crown Haven Wealth Management has implemented a Code of Ethics and an internal compliance program that guides the firm in meeting its fiduciary obligations to clients. Mr. Kesling adheres to the code of ethics and compliance manual as mandated. Clients may contact Mr. Campbell at (317) 564-4691 or [gordon@crownhaven.com](mailto:gordon@crownhaven.com) to obtain a copy of our firm's code of ethics.

Additionally, Crown Haven Wealth Management is subject to regulatory oversight by various agencies. These agencies require registration by Crown Haven Wealth Management and its investment adviser representatives. As a registered entity, Crown Haven Wealth Management is subject to examinations by regulators, which may be announced or unannounced. Crown Haven Wealth Management is required to periodically update the information provided to these agencies and to provide various reports regarding firm business.

#### **Requirements for State-Registered Advisers - Item 7**

This disclosure is required by state securities authorities. As such, we are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Kesling.

- C. Mr. Kesling has NOT been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- a) an investment or an investment-related business or activity;
- b) fraud, false statement(s), or omissions;
- c) theft, embezzlement, or other wrongful taking of property;
- d) bribery, forgery, counterfeiting, or extortion; or
- e) dishonest, unfair, or unethical practices.

D. Mr. Kesling has NOT been the subject of a bankruptcy.

Information regarding Mr. Kesling's history can be found online at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). His individual CRD number is 7292118.

**Cameron W. Gardner**

CRD Number: 6997652  
Investment Adviser Representative

**Crown Haven Wealth Management LLC**

11555 N. Meridian St., Suite 560  
Carmel, IN 460232

Phone: (317) 564-4691

Email: [cameron@crownhaven.com](mailto:cameron@crownhaven.com)

**Form ADV Part 2B Brochure Supplement**

**January 14, 2025**

This Brochure Supplement provides information about Cameron W. Gardner that supplements the Disclosure Brochure of Crown Haven Wealth Management LLC (hereinafter “Crown Haven Wealth Management”), a copy of which you should have received. Please contact us at (317) 564-4691 if you did not receive the Disclosure Brochure or if you have any questions about the contents of this Brochure Supplement.

Additional information about Mr. Gardner is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Mr. Gardner’s individual CRD number is 6997652.

### Educational Background and Business Experience - Item 2

**Cameron W. Gardner**

Year of Birth: 1995

*Formal Education After High School:*

- Wake Forest University, Bachelor of Arts in Economics, 2018

*Business Background for the Previous Five Years:*

- Aegis Wealth Management, Inc., Investment Adviser Representative, 11/2024 to Present
- Crown Haven Wealth Management, Vice President of Advisory Services & Investment Advisor Representative, 10/2024 to Present
- Capital Financial Advisory Group, Insurance Advisor, 03/2022 to 10/2024
- Powerhome Solar, Field Energy Consultant, 09/2021 to 03/2022
- Family First Life Insurance, Life Insurance Agent, 01/2021 to 09/2021
- North American Senior Benefits, Life Insurance Agent, 01/2019 to 01/2021

### Disciplinary Information - Item 3

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Gardner and Crown Haven Wealth Management. Mr. Gardner has not been involved in any legal or disciplinary events reportable under this item. Information regarding Mr. Gardner's history can be found online at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). His individual CRD number is 6997652.

### Other Business Activities - Item 4

Mr. Gardner is also licensed as an insurance agent/producer. In this capacity, he can affect transactions in insurance products for advisory and non-advisory clients and earn commissions for these activities. The advisory fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Gardner for insurance-related activities. This presents a conflict of interest because Mr. Gardner may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than making such recommendations based solely on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through Mr. Gardner or any person or entity affiliated with our firm. Mr. Gardner spends approximately 70% of his professional time on insurance-related sales and services.

Mr. Gardner is also dually registered as an investment adviser representative of Aegis Wealth Management, Inc. ("Aegis"), an SEC registered investment adviser. Crown Haven Wealth Management will share in advisory fees paid to Aegis for advisory services provided through Aegis and/or Mr. Gardner in his capacities as an investment adviser representative of Aegis. This presents a conflict of interest because Mr. Gardner may have an incentive to recommend the services of Aegis over the services of another TPIA with which he has no affiliation and/or no or less favorable compensation arrangements. However, you are under no obligation, contractually or otherwise, to utilize the advisory services of Aegis or any other recommended TPIA, or through Mr. Gardner or any person or

entity affiliated with our firm. Mr. Gardner spends approximately 20% of his professional time on investment advisory services offered through Aegis.

#### **Additional Compensation – Item 5**

Apart from sharing in advisory fees paid by clients to third party investment advisers (TPIAs) and the other business activities described above in Item 4 of this brochure supplement, Mr. Gardner does not receive additional compensation or economic benefits from anyone who is not a client in connection with providing investment advisory services.

#### **Supervision - Item 6**

Mr. Gardner is an investment adviser representative of Crown Haven Wealth Management. In this role, Mr. Gardner is responsible for monitoring client portfolios for investment objectives and other supervisory reviews. Gordon Campbell is the Chief Compliance Officer of Crown Haven Wealth Management. In this capacity, Mr. Campbell is responsible for the implementation of the firm's compliance program and supervision of the firm's advisory personnel, including Mr. Gardner. Mr. Campbell can be reached at (317) 564-4691 or [gordon@crownhaven.com](mailto:gordon@crownhaven.com).

Crown Haven Wealth Management has implemented a Code of Ethics and an internal compliance program that guides the firm in meeting its fiduciary obligations to clients. Mr. Gardner adheres to the code of ethics and compliance manual as mandated. Clients may contact Mr. Campbell at (317) 564-4691 or [gordon@crownhaven.com](mailto:gordon@crownhaven.com) to obtain a copy of our firm's code of ethics.

Additionally, Crown Haven Wealth Management is subject to regulatory oversight by various agencies. These agencies require registration by Crown Haven Wealth Management and its investment adviser representatives. As a registered entity, Crown Haven Wealth Management is subject to examinations by regulators, which may be announced or unannounced. Crown Haven Wealth Management is required to periodically update the information provided to these agencies and to provide various reports regarding firm business.

#### **Requirements for State-Registered Advisers - Item 7**

This disclosure is required by state securities authorities. As such, we are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Gardner.

- A. Mr. Gardner has NOT been involved in any of the events listed below.
  - 1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;

- d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
- a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.

B. Mr. Gardner has NOT been the subject of a bankruptcy.

Information regarding Mr. Gardner's history can be found online at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). His individual CRD number is 6997652.



## Privacy Notice

### **Crown Haven Wealth Management LLC**

This notice is being provided to you in accordance with the Securities and Exchange Commission's rule regarding the privacy of consumer financial information ("Regulation S-P"). Please take the time to read and understand the privacy policies and procedures that we have implemented to safeguard your nonpublic personal information.

#### **INFORMATION WE COLLECT**

Crown Haven Wealth Management LLC must collect certain personally identifiable financial information about its customers to provide financial services and products. The personally identifiable financial information that we gather during the normal course of doing business with you may include:

- information we receive from you on applications or other forms;
- information about your transactions with us, our affiliates, or others;
- information we receive from a consumer reporting agency.

#### **INFORMATION WE DISCLOSE**

We do not disclose any nonpublic personal information about our customers or former customers to anyone, except as permitted or required by law, or as necessary to provide services to you. In accordance with Section 248.13 of Regulation S-P, we may disclose all of the information we collect, as described above, to certain nonaffiliated third parties such as our attorneys, accountants, auditors and persons or entities that are assessing our compliance with industry standards. We enter into contractual agreements with all nonaffiliated third parties that prohibit such third parties from disclosing or using the information other than to carry out the purposes for which we disclose the information.

#### **CONFIDENTIALITY AND SECURITY**

We restrict access to nonpublic personal information about you to those Employees who need to know that information to provide financial products or services to you. We maintain physical, electronic, and procedural safeguards that comply with federal standards to guard your nonpublic personal information.

#### **ACCURACY**

Crown Haven Wealth Management LLC strives to maintain accurate personal information in our Client files at all times. However, as personal situations, facts and data change over time; we encourage our Clients to provide feedback and updated information to help us meet our goals. If you have questions, please contact Gordon S. Campbell, Chief Compliance Officer of our firm at (317) 564-4691 or [gordon@crownhaven.com](mailto:gordon@crownhaven.com).